



POLICY

UNDER SECRETARY OF DEFENSE  
2000 DEFENSE PENTAGON  
WASHINGTON, D.C. 20301-2000

FEB 28 2019

MAR 05 2019

The Honorable Eliot L. Engel  
Chairman  
Committee on Foreign Affairs  
U.S. House of Representatives  
Washington, DC 20515

Dear Mr. Chairman:

I am writing to notify you that, in coordination with the Secretary of State, the Department of Defense (DoD) will use the authority provided by Section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as most recently amended by Section 1246 of the NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine. Implementation of these programs will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

The total estimated cost of these programs does not exceed \$125 million. Funding made available pursuant to Section 9013 of the Department of Defense Appropriations Act, 2019 (division A of Public Law 115-245) will finance these programs.

DoD has included more than \$50 million of assistance to deliver counter-artillery radars and defensive lethal assistance pursuant to Section 1250 of the NDAA for Fiscal Year 2016, as amended.

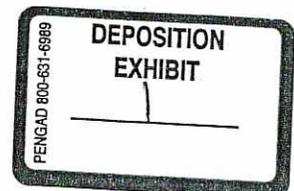
Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the congressional defense committees and the Senate Committee on Foreign Relations.

Sincerely,

Enclosures:  
As stated

cc:  
The Honorable Michael McCaul  
Ranking Member



**Ukraine Security Assistance Initiative (USAI):  
Lethal Equipment and Counter-Artillery Radars**

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to the military and security forces of the Government of Ukraine to enhance Ukraine's capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability to defend its sovereignty and territorial integrity, and support Ukraine's efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds defensive lethal weapons systems, including sniper rifles and associated ammunition, optics, and ancillary items, and shoulder-fired grenade launchers and associated ammunition. This program also funds two counter-artillery radar systems, upgrades to 13 previously-provided counter-artillery radar systems, and the associated training, maintenance, and services. These additional radar systems and upgrades will enhance the survivability of Ukrainian forces by providing early warning against enemy indirect fire attacks. The sniper rifles, grenade launchers, and ammunition will increase the defensive capacity of Ukrainian forces for fixed site security, anti-armor, and counter-sniper missions.

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided and will be provided human rights training by the Defense Institute of International Legal Studies. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department's FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	Articles/Services	Value	Executing Component
1	Radars, Spares, and Supporting Equipment, including: <ul style="list-style-type: none"> <li>• AN/TPQ-37 FIREFINDER Radar (2)</li> <li>• Spares and Ancillary Items</li> </ul>	\$29,900,000	Army
2	Sniper Rifles, Spares, and Supporting Equipment, including: <ul style="list-style-type: none"> <li>• .50 Sniper Rifle (122)</li> </ul>	\$5,800,000	Army

**Ukraine Security Assistance Initiative (USAI):**  
Ministry of Defense - Air Force

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine's capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability to defend its sovereignty and territorial integrity, and support Ukraine's efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds equipment and training to enable the Ukrainian Air Force to become NATO-interoperable and to improve the Ukrainian Air Force's combat effectiveness. Equipment includes navigational aids, which will significantly enhance the utilization of air defense radars, make operations in a challenging electronic-warfare environment more feasible, and improve the overall air defense capability of Ukraine by enabling day/night and all-weather operational capabilities. This will be vital for the training of Ukrainian forces in critical combat operations to the NATO standard.

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided. This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department's FY 2019 Operation and Maintenance (O&M), Defense-wide, account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	Articles/Services	Value	Executing Component
1	Aircraft Navigational Systems, including: <ul style="list-style-type: none"> <li>• Doppler VHF Omnidirectional Radio Ranging and Tactical Air Navigation System</li> <li>• Associated spares and ancillaries</li> </ul> Instrument Landing System/Distance Measuring Equipment, including: <ul style="list-style-type: none"> <li>• Localizer (1)</li> <li>• Glideslope (1)</li> </ul>	\$2,300,000	Air Force

**Ukraine Security Assistance Initiative (USAI):**  
 Ministry of Defense – Land Forces and Special Operations Forces

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s effort to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds Ukrainian land forces and special operations forces (SOF) equipment and associated critical combat operations training, maintenance, and services. Ukrainian land forces and SOF units will be equipped with electronic warfare equipment, tactical vehicles, shelters, and mine rollers. This program will promote the NATO interoperability of Ukrainian land forces and SOF. This assistance is supported by enduring defense reform programs through the support of the Ministry of Defense Advisors (MoDA) Program and the Defense Governance and Management Team (DGMT).

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided. This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	Articles/Services	Value	Executing Component
1	Tactical Vehicles, including: <ul style="list-style-type: none"> <li>• M1151 High Mobility Multipurpose Wheeled Vehicle (HMMWV) (6)</li> <li>• Self Protection Adaptive Roller Kit System (SPARKS) Mine Rollers (6)</li> <li>• HMMWV Trailers (30)</li> <li>• Associated spares and ancillaries</li> </ul>	\$4,000,000	Army

**Ukraine Security Assistance Initiative (USAI):**  
 Ministry of Defense – Navy and Naval Infantry

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds small vessels, communications equipment, and supplies for the Ukrainian Navy and Naval Infantry, including night-vision equipment, thermal scopes, and rifle-mounted lasers to improve the Ukrainian Naval Infantry’s capacity to conduct low-light and night-time operations. The program also funds diving equipment to support underwater operations. This assistance is supported by enduring defense reform programs through the support of the Ministry of Defense Advisors (MoDA) Program and the Defense Governance and Management Team (DGMT).

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided. This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	Articles/Services	Value	Executing Component
1	Navy and Naval Infantry Communication Systems, including: <ul style="list-style-type: none"> <li>• Shore Station System (1)</li> <li>• Shore Retransmission Station (6)</li> <li>• Intercom System (4)</li> <li>• Boat Radios (27)</li> <li>• Ruggedized Command Element Portable Computers (66)</li> <li>• Associated spares and ancillaries</li> </ul>	\$4,700,000	Army
2	Naval Infantry Tactical Equipment: <ul style="list-style-type: none"> <li>• Night Vision Device (470)</li> <li>• Laser Weapon Sight (350)</li> </ul>	\$3,200,000	Army

**Ukraine Security Assistance Initiative (USAI):**  
Ministry of Defense – Other

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds secure communication radio equipment, communications training equipment, communication monitoring equipment, and secure computer network equipment to improve the Ukrainian Ministry of Defense’s communication and cyber capabilities. This program also funds a technical support package to provide training and advisory efforts to enable the Ukrainian Ministry of Defense and Armed Forces to develop capabilities to support critical combat operations through such activities as planning, logistics, procurement, and acquisition, in line with NATO principles and standards. The technical support package will include training for staff and senior leadership to allow the armed forces to better analyze, budget, and select capabilities for procurement via a transparent, responsive, and competitive process.

This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	Articles/Services	Value	Executing Component
1	Secure Radio Equipment, including: <ul style="list-style-type: none"> <li>• VHF/UHF Radio Systems (260)</li> <li>• HF Radio Systems (40)</li> <li>• Associated spares, ancillaries</li> </ul>	\$15,100,000	Army
2	Training and Classroom Equipment, including: <ul style="list-style-type: none"> <li>• Tactical Vehicle Mounted Radio System (2)</li> <li>• Intercom System (2)</li> <li>• Tactical Radio System manpack (4)</li> <li>• Associated spares and ancillaries</li> </ul>	\$700,000	Army



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POLICY

The Honorable Eliot L. Engel  
Chairman  
Committee on Foreign Affairs  
U.S. House of Representatives  
Washington, DC 20515

MAY 28 2019

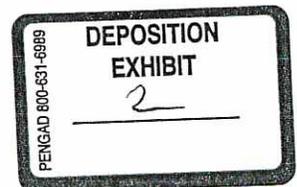
Dear Mr. Chairman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role a U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.



**Ukraine Security Assistance Initiative Notification Summary Table**  
**In Accordance with Section 9013 of the Department of Defense Appropriations Act, 2019,**  
**and Section 1250 of the National Defense Authorization Act for 2016, as Amended**  
**(Tranche 2, \$125 Million)**

Proposal	Program	Component	Combatant Command	Dollars
1	Electronic Warfare and Counter-Mortar Radars	DSCA	USEUCOM	\$26,900,000
2	National Guard	DSCA	USEUCOM	\$9,700,000
3	Ministry of Defense – Land Forces and Special Operations Forces	DSCA	USEUCOM	\$28,300,000
4	Ministry of Defense – Command and Control	DSCA	USEUCOM	\$51,100,000
5	Ministry of Defense – Other	DSCA	USEUCOM	\$9,000,000
	<b>Total</b>			<b>\$125,000,000</b>

DSCA – Defense Security Cooperation Agency  
 USEUCOM – U.S. European Command

	Articles/Services	Value	Executing Component
3	<ul style="list-style-type: none"> <li>• Operations and Maintenance Training</li> <li>• Human Rights Training (DIILS)</li> <li>• Services, Service Charges, and Technical Support</li> <li>• Transportation, Consolidation, Shipping</li> </ul>	\$4,700,000	Army/Navy/USMC
	<b>PROGRAM TOTAL</b>	<b>\$26,900,000</b>	

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125,000,000. The quantity of items will remain consistent with the notified nature and scope of the program.

	Articles/Services	Value	Executing Component
4	<ul style="list-style-type: none"> <li>• Operations and Maintenance Training</li> <li>• Human Rights Training (DILS)</li> <li>• Services, Service Charges, and Technical Support</li> <li>• Transportation, Consolidation, Shipping</li> </ul>	\$1,700,000	Army
<b>PROGRAM TOTAL</b>		<b>\$9,700,000</b>	

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125,000,000. The quantity of items will remain consistent with the notified nature and scope of the program.

	Articles/Services	Value	Executing Component
2	Night-Vision Device (NVD) sustainment and maintenance, including: <ul style="list-style-type: none"> <li>• NVD consumable spare parts</li> <li>• NVD repair tools and supplies</li> <li>• Secure storage containers (20)</li> <li>• Associated Spares and ancillaries</li> <li>• Collimator Rifle Sights (1000)</li> <li>• Associated Rifle Handguards, batteries, spares, ancillaries</li> </ul>	\$3,600,000	Army
3	Engineering and Explosive Ordnance Disposal (EOD), including: <ul style="list-style-type: none"> <li>• Husky Demining Vehicle (1)</li> <li>• EOD Robots (20)</li> <li>• EOD Bomb-technician Suits (14)</li> <li>• Associated spares and ancillaries</li> </ul>	\$7,200,000	Navy
4	Non-Commissioned Officer Academy and Yavoriv Training Center Equipment, including: <ul style="list-style-type: none"> <li>• English Language Labs (20)</li> <li>• Simulations software and servers</li> <li>• Associated spares and ancillaries</li> </ul>	\$400,000	Army
5	SOF Secure Communication, including: <ul style="list-style-type: none"> <li>• HF/VHF Radios (124)</li> <li>• Associated Spares and ancillaries</li> </ul>	\$4,200,000	Army
6	SOF Optics, Medical, and Engineering, including: <ul style="list-style-type: none"> <li>• Weapon optics (550)</li> <li>• Secure Storage Containers (6)</li> <li>• EOD equipment</li> <li>• Medical equipment</li> <li>• Water Purification system (8)</li> <li>• Associated Spares and ancillaries</li> </ul>	\$2,500,000	Army/Navy

**Ukraine Security Assistance Initiative (USAI):**  
 Ministry of Defense – Command and Control

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds equipment to develop further Ukraine’s ability to communicate securely in the Joint Forces Operation area and emissions and penetration testing equipment to harden communication networks. These systems will improve the Ukrainian Ministry of Defense’s communication and cyber capabilities.

Units selected to receive this assistance will undergo Leahy human rights vetting before such assistance is provided and will be provided human rights training by the Defense Institute of International Legal Studies. The U.S. European Command (USEUCOM) assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance, Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
1	Secure Communications equipment, including: <ul style="list-style-type: none"> <li>• VHF/UHF radio systems (100)</li> <li>• VHF Dismount System (100)</li> <li>• HF Radio Systems (350)</li> <li>• Associated spares and ancillaries</li> </ul>	\$43,200,000	Army
2	Emissions and Penetration Testing and certification equipment, including: <ul style="list-style-type: none"> <li>• Spectrum Analyzers (23)</li> <li>• Servers, racks, and cabling</li> <li>• Associated spares and ancillaries</li> </ul>	\$1,400,000	Army

**Ukraine Security Assistance Initiative (USAI):**  
Ministry of Defense – Other

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds 10 additional HMMWV ambulances, medical treatment supplies, optics and ancillaries for the Military Police, 10 vehicle-mounted public address systems, and associated training. These programs will continue to enhance Ukraine’s military medical capacity and capability in support of continued U.S.-led medical training in Ukraine designed to develop an organic and self-sustaining military medic program.

Units selected to receive this assistance will undergo Leahy human rights vetting before such assistance is provided and will be provided human rights training by the Defense Institute of International Legal Studies. The U.S. European Command (USEUCOM) assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance, Defense-wide account.

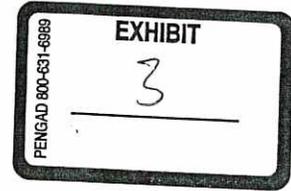
These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
1	Medical Equipment, including: <ul style="list-style-type: none"> <li>• M1152 HMMWV Ambulances (10)</li> <li>• Hospital equipment (30)</li> <li>• Treatment and Training supplies</li> <li>• Associated spares, ancillaries</li> </ul>	\$5,500,000	Army
2	Military Police Equipment, including: <ul style="list-style-type: none"> <li>• Weapon Optics (200)</li> <li>• Range finders (10)</li> <li>• Associated spares and ancillaries</li> </ul>	\$500,000	Army
3	STRATCOM equipment, including: <ul style="list-style-type: none"> <li>• Vehicle-Mounted Public Announcement system (10)</li> <li>• US standard digital media kits (30)</li> <li>• Associated spares and ancillaries</li> </ul>	\$1,600,000	Army



EXECUTIVE OFFICE OF THE PRESIDENT  
OFFICE OF MANAGEMENT AND BUDGET  
WASHINGTON, D.C. 20503



October 3, 2019

The Honorable John A. Yarmuth  
Chairman  
Committee on Budget  
U.S. House of Representatives  
Washington, D.C. 20515

Dear Mr. Chairman:

The Office of Management and Budget (OMB) received your September 27, 2019 letter requesting information and documents about OMB's apportionment actions. Per your request, please find enclosed a preliminary response. We will be in contact about the remaining requests in your letter.

Sincerely,

Jason A. Yaworske  
Associate Director for Legislative Affairs

Enclosure

cc: The Honorable Steve Womack  
The Honorable Kay Granger

Identical Letter Sent to The Honorable Nita Lowey



EXECUTIVE OFFICE OF THE PRESIDENT  
OFFICE OF MANAGEMENT AND BUDGET  
WASHINGTON, D.C. 20503

October 3, 2019

The Honorable Nita Lowey  
Chairwoman  
Committee on Appropriations  
U.S. House of Representatives  
Washington, D.C. 20515

Dear Madam Chairwoman:

The Office of Management and Budget (OMB) received your September 27, 2019 letter requesting information and documents about OMB's apportionment actions. Per your request, please find enclosed a preliminary response. We will be in contact about the remaining requests in your letter.

Sincerely,

A handwritten signature in black ink, appearing to read "Jason A. Yaworske".

Jason A. Yaworske  
Associate Director for Legislative Affairs

Enclosure

cc: The Honorable Steve Womack  
The Honorable Kay Granger

Identical Letter Sent to The Honorable John A. Yarmuth

## Attachment #1

OMB reached out to the Department of Defense (DOD) on June 19, 2019, about the Ukraine Security Assistance Initiative (USAI). On July 18, 2019, OMB notified an interagency working group, which included DOD and the Department of State, about an instruction to withhold all funds for Ukraine security assistance. OMB issued its first written apportionment with the USAI footnote restricting obligations on July 25 for the DOD account (TAFS 97-0100/2019). In its apportionment, OMB noted that it “understands from the Department that this brief pause in obligations will not preclude DOD’s timely execution of the final policy direction. DOD may continue its planning and casework for the Initiative during this period.” (See attached footnote). The remaining unobligated USAI funds were made available for use by DOD for FY 2019 on September 12, 2019.

With regard to the August 3, 2019, Letter Apportionment, OMB instructed the Department of State and the U.S. Agency for International Development to withhold the funds listed in the August 3 letter. This letter was the first reapportionment action with respect to the withholding of these funds. The funds were made available for use by the agencies for FY 2019 on August 9, 2019.

SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system

~~Approved by Mark~~

Mark Affixed By:

Mark Sandy  
Deputy Associate Director for National Security Programs

Signed On:

2019-07-25 06:44 PM

File Name:

Copy of INC 2019-19-23-24-25 IR-19-05 PA\_97-0100 2019-complete 07.25.2019v2.xlsx

Sent By:

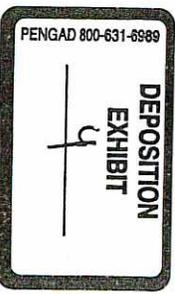
Katie Broomell

Sent On:

2019-07-25 06:47 PM

TAF(s) Included:

97-0100 \2019



SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

Footnotes for Apportioned Amounts

- A2 A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3 Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.
- A4 Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until August 5, 2019, to allow for an interagency process to determine the best use of such funds. Based on OMB's communication with DOD on July 25, 2019, OMB understands from the Department that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction. DOD may continue its planning and casework for the Initiative during this period.

Footnotes for Budgetary Resources

- B1 Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2 Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).

SF 132 APPORTIONMENT SCHEDULE

- B3** (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.
- B4** Per the April 2019 SF-133
- B5** (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.
- B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system  
the web-based apportionment system

~~Authoritative Mark~~

PENGAD 800-631-6989  
EXHIBIT  
5

Mark Affixed By: Michael Duffey  
Mark Affixed By: Michael Duffey  
Associate Director for National Security Programs  
Associate Director for National Security Programs

Signed On: 2019-08-06 02:22 PM  
Signed On: 2019-08-06 02:22 PM  
File Name: INC 2019-19-07 PA\_97-0100 2019 8-6-2019.xlsx  
File Name: INC 2019-19-07 PA\_97-0100 2019 8-6-2019.xlsx  
Sent By: Edna Curtin  
Sent By: Edna Curtin  
Sent On: 2019-08-06 03:41 PM  
Sent On: 2019-08-06 03:41 PM

TAF(s) Included: 97-0100 \2019

SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

Footnotes for Apportioned Amounts

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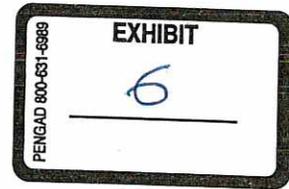
Footnotes for Budgetary Resources

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SF 132 APPORTIONMENT SCHEDULE

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- B4** Per the April 2019 SF-133
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- B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File



## Foreign aid funds put on hold for review

By Carol Morello and Karoun Demirjian

August 5, 2019 5:57 p.m. EDT

The Trump administration has temporarily frozen and ordered a review of several key foreign aid funds that Congress has already approved, in a move that critics fear could lead to another attempted rollback of foreign aid.

The Office of Management and Budget sent a letter to the State Department and the U.S. Agency for International Development on Saturday, notifying them of the action, which took effect one minute before midnight that day.

The OMB asked the agencies for a balance sheet of foreign aid projects that have not yet been funded. Michael P. Duffey, the associate director for national security programs at OMB, wrote that no more money can be obligated from those funds until three days after OMB receives the numbers, a process that could take days if not weeks.

AD

The letter, viewed by The Washington Post, lists eight areas that cover a variety of assistance: international organizations; peacekeeping operations and activities; international narcotics control and law enforcement; development aid; assistance for Europe, Eurasia and Central Asia; economic support funding; foreign military financing programs; and global health programs.

An administration official said the funds targeted for review are above budgetary limits proposed by President Trump. They include big-ticket items like contributions to the United Nations and smaller items like solar panels in the Caribbean, schools in Uzbekistan and soccer programs in Central America.

Traditionally, a significant portion of foreign aid funding is obligated toward the end of a fiscal year, which runs through Sept. 30. But this year the pot is bigger, in part because the administration has cut aid to the Northern Triangle countries of Guatemala, Honduras and El Salvador, and for the Palestinians.

AD

According to people familiar with the process, the named funds could be as little as \$2 billion and as much as \$4 billion.

The State Department and USAID declined to comment, referring questions to OMB.

"It is incumbent on all federal agencies to properly use funds provided by Congress," said Rachel Semmel, the OMB spokeswoman. "In an effort to ensure accountability, OMB has requested the current status of several foreign assistance accounts to identify the amount of funding that is unobligated. On behalf of American taxpayers, OMB has an obligation to ensure their money is being used wisely."

**Carol Morello**

Carol Morello is the diplomatic correspondent for The Washington Post, covering the State Department. She previously wrote about demographics and the census. She has worked at The Post since 2000. Before that, she was a reporter for the Philadelphia Inquirer and USA Today. [Follow](#) 

**Karoun Demirjian**

Karoun Demirjian is a congressional reporter covering national security, including defense, foreign policy, intelligence and matters concerning the judiciary. She was previously a correspondent based in The Post's bureau in Moscow. [Follow](#) 

SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system

~~Authored by Mark~~

Mark Affixed By:

Michael Duffey  
Associate Director for National Security Programs

Signed On:

2019-08-15 10:15 AM

File Name:

Copy of INC 2019-19-29 IR\_97-0100 2019.xlsx

Sent By:

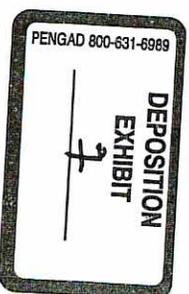
Wandlyn Robinson

Sent On:

2019-08-15 10:46 AM

TAF(s) Included:

97-0100 \2019



## SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

### Footnotes for Apportioned Amounts

- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
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### Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
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SF 132 APPORTIONMENT SCHEDULE

- B3** (18) FY 19-29 IR transfers \$-100,000 in accordance with section 8052 of division A of P.L. 115-245. (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.
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End of File

SF 132 APPORTIONMENT SCHEDULE

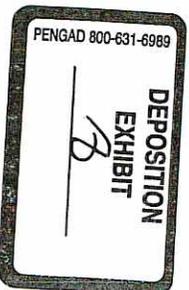
OMB Approved this apportionment request using  
the web-based apportionment system

~~Autho~~ritative Mark

Mark Affixed By: Michael Duffey  
Associate Director for National Security Programs

Signed On: 2019-08-20 04:35 PM  
File Name: Copy of INC 2019-19-27 IR and 19-28 IR\_97-0100 2019.xlsx  
Sent By: Edna Curtin  
Sent On: 2019-08-20 06:24 PM

TAF(s) Included: 97-0100 \2019



## SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

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SF 132 APPORTIONMENT SCHEDULE

- B3** (19) FY 19-27 IR transfers \$-49,996,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-18,904,000 (Part II) in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (18) FY 19-29 IR transfers \$-100,000 in accordance with section 8052 of division A of P.L. 115-245. (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L.115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.
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SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system

  
A ~~uthoritative~~ Mark

Mark Affixed By: Michael Duffey  
Associate Director for National Security Programs

Signed On: 2019-08-27 02:25 PM  
File Name: 97-0100 2019 O&M DW.xlsx  
Sent By: Kate Broomell  
Sent On: 2019-08-27 04:51 PM

TAF(s) Included: 97-0100 \2019

## SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

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**B4** Per the April 2019 SF-133

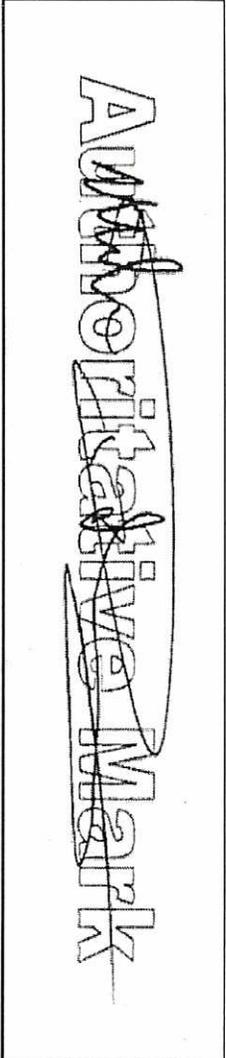
**B5** (19) FY 19-27 IR transfers \$9,861,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245. FY 19-28 IR transfers \$4,545,000 in accordance with Title VI of division A of P.L. 115-245. (17) FY 19-07 PA transfers \$13,806,000 in accordance with section 8005 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.

**B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system



Authoritative Mark

Mark Affixed By:

Michael Duffey  
Associate Director for National Security Programs

Signed On:

2019-08-31 09:19 AM

File Name:

97-0100 2019 O&M DW 21.xlsx

Sent By:

Katie Broomell

Sent On:

2019-08-31 10:07 AM

TAF(s) Included:

97-0100 \2019

SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

Footnotes for Apportioned Amounts

- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3** Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.
- A4** Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until September 5, 2019, to allow for an interagency process to determine the best use of such funds. DOD may continue its planning and casework for the Initiative during this period.

Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2** Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).

SF 132 APPORTIONMENT SCHEDULE

**B3** (19) FY 19-27 IR transfers \$-49,996,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-18,904,000 (Part II) in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (18) FY 19-29 IR transfers \$-100,000 in accordance with section 8052 of division A of P.L. 115-245. (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY 19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY 19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.

**B4** Per the April 2019 SF-133

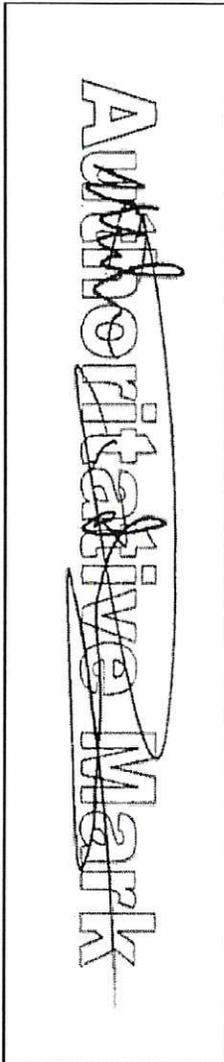
**B5** (19) FY 19-27 IR transfers \$9,861,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245. FY 19-28 IR transfers \$4,545,000 in accordance with Title VI of division A of P.L. 115-245. (17) FY 19-07 PA transfers \$13,806,000 in accordance with section 8005 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.

**B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system



Mark Affixed By:

Michael Duffey  
Associate Director for National Security Programs

Signed On:

2019-09-05 01:26 PM

File Name:

97-0100 2019 OM DW 22.xlsx

Sent By:

John Saldivar

Sent On:

2019-09-05 01:33 PM

TAF(s) Included:

97-0100 \2019

SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

Footnotes for Apportioned Amounts

- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3** Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.
- A4** Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until **September 7, 2019**, to allow for an interagency process to determine the best use of such funds. DOD may continue its planning and casework for the Initiative during this period.

Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2** Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).

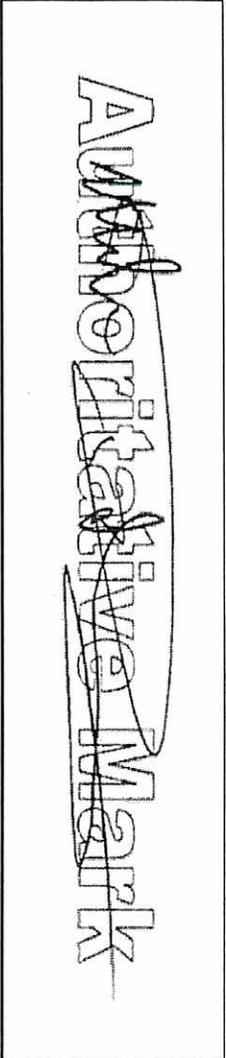
SF 132 APPORTIONMENT SCHEDULE

- B3** (19) FY 19-27 IR transfers \$-49,996,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-18,904,000 (Part II) in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (18) FY 19-29 IR transfers \$-100,000 in accordance with section 8052 of division A of P.L. 115-245. (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY 19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.
- B4** Per the April 2019 SF-133
- B5** (19) FY 19-27 IR transfers \$9,861,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245. FY 19-28 IR transfers \$4,545,000 in accordance with Title VI of division A of P.L. 115-245. (17) FY 19-07 PA transfers \$13,806,000 in accordance with section 8005 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.
- B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system



Mark Affixed By:

Michael Duffey  
Associate Director for National Security Programs

Signed On:

2019-09-06 10:23 PM

File Name:

97-0100 2019 OM DW 23.xlsx

Sent By:

Edna Curtin

Sent On:

2019-09-06 10:29 PM

TAF(s) Included:

97-0100 \2019

## SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

### Footnotes for Apportioned Amounts

- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3** Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.
- A4** Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until **September 11, 2019**, to allow for an interagency process to determine the best use of such funds. DOD may continue its planning and casework for the Initiative during this period.

### Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2** Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).

SF 132 APPORTIONMENT SCHEDULE

**B3** (19) FY 19-27 IR transfers \$-49,996,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-18,904,000 (Part II) in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (18) FY 19-29 IR transfers \$-100,000 in accordance with section 8052 of division A of P.L. 115-245. (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY 19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.

**B4** Per the April 2019 SF-133

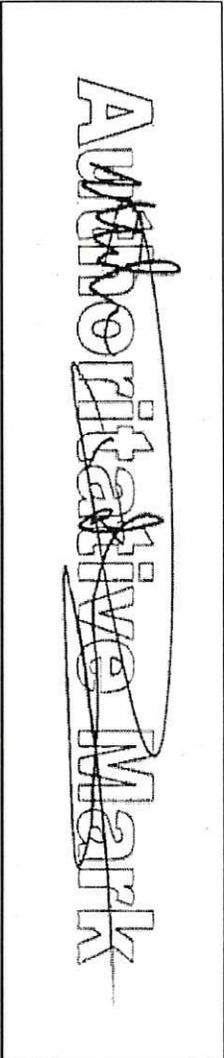
**B5** (19) FY 19-27 IR transfers \$9,861,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245. FY 19-28 IR transfers \$4,545,000 in accordance with Title VI of division A of P.L. 115-245. (17) FY 19-07 PA transfers \$13,806,000 in accordance with section 8005 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.

**B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

SF 132 APPORTIONMENT SCHEDULE

OMB Approved this apportionment request using  
the web-based apportionment system

A rectangular box containing a handwritten signature in black ink. The signature is written in a cursive style and reads "Mark Duffey". The name "Mark" is written in a larger, bolder font than "Duffey".

Mark Affixed By:

Michael Duffey  
Associate Director for National Security Programs

Signed On:

2019-09-10 07:55 PM

File Name:

97-0100 2019 OM DW 24.xlsx

Sent By:

Edna Curtin

Sent On:

2019-09-11 08:53 AM

TAF(s) Included:

97-0100 \2019

SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

Footnotes for Apportioned Amounts

- A2 A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3 Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.
- A4 Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until **September 12, 2019**, to allow for an interagency process to determine the best use of such funds. DOD may continue its planning and casework for the Initiative during this period.

Footnotes for Budgetary Resources

- B1 Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2 Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).

SF 132 APPORTIONMENT SCHEDULE

**B3** (19) FY 19-27 IR transfers \$49,996,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-18,904,000 (Part II) in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (18) FY 19-29 IR transfers \$-100,000 in accordance with section 8052 of division A of P.L. 115-245. (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY 19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.

**B4** Per the April 2019 SF-133

**B5** (19) FY 19-27 IR transfers \$9,861,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245. FY 19-28 IR transfers \$4,545,000 in accordance with Title VI of division A of P.L. 115-245. (17) FY 19-07 PA transfers \$13,806,000 in accordance with section 8005 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.

**B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

SF 132 APPORTIONMENT SCHEDULE

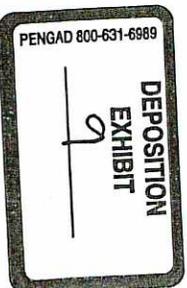
OMB Approved this apportionment request using  
the web-based apportionment system

  
Authoritative Mark

Mark Affixed By: Michael Duffey  
Associate Director for National Security Programs

Signed On: 2019-09-12 07:13 AM  
File Name: 97-0100 2019 OM DW 25.xlsx  
Sent By: John Saldivar  
Sent On: 2019-09-12 07:42 AM

TAF(s) Included: 97-0100 \2019



SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment  
OMB Footnotes

Footnotes for Apportioned Amounts

- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3** Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.

Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2** Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).

SF 132 APPORTIONMENT SCHEDULE

**B3** (19) FY 19-27 IR transfers \$-49,996,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-18,904,000 (Part II) in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (18) FY 19-29 IR transfers \$-100,000 in accordance with section 8052 of division A of P.L. 115-245. (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY 19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9002 and 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.

**B4** Per the April 2019 SF-133

**B5** (19) FY 19-27 IR transfers \$9,861,000 (Part I) in accordance with section 9018 of Title IX of division A of P.L. 115-245. FY 19-28 IR transfers \$4,545,000 in accordance with Title VI of division A of P.L. 115-245. (17) FY 19-07 PA transfers \$13,806,000 in accordance with section 8005 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.

**B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File